

07-16-02

GP/2814/B

PATENT

Attorney Docket No. MTI-31041-A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Ping, et al.

Serial No.

10/046,497

Filing Date

October 26, 2001

For

Method For Forming Raised Structures by Controlled Selective

Epitaxial Growth of Facet Using Spacer

Group Art Unit

2814

Examiner

LE, Thao X.

Confirmation No.

8624

CERTIFICATION UNDER 37 CFR 1.8(a) and 1.10

I hereby certify that, on the date shown below, this correspondence is being:

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deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

37 CFR 1.8(a)

37 CFR 1.10

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Transmission

transmitted by facsimile to Fax No_ addressed to Examiner ___ at the US Patent and Trademark Office

Date: 7-15-2

Assistant Commissioner for Patents

Washington, D.C. 20231

TRANSMITTAL

1. Transmitted herewith is:

Response to Restriction Requirement and Preliminary Amendment (4 pages)

Replacement sheets for Claims (11 pages)

Blacklined version of Claims (11 pages)

Check in the amount of \$1,224

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STATUS

2. Applicant is a large entity.

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- 3. The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply.
 - [X] Applicant believes that no extension of term is required. However, if an extension of time is required, please consider this a petition therefor.
 - [] Applicant petitions for an extension of time under 37 C.F.R. '1.136 for the total number of months checked below [fees: 37 C.F.R. '1.17(a)(1)-(4)]:

Extension (months)	Fee for small entity	
one months two months three months four months	\$ 55.00 \$ 195.0 \$ 445.0 \$ 695.0	0 0
four monuis	Fee:	\$0.0 <u>0</u>

FEE FOR CLAIMS

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

Claims Remaining After Amendment		Highest Number Previously Paid For		Rate (Small Entity)	Additional Fee or	Rate (Large Entity)	Additional Fee	
Total	95	Minus	55	=	x 9=\$	\$	<u>40</u> x 18	\$720.00
Independent	13	Minus	7	=	x 42= \$	\$	<u>6</u> x 84	\$504.00

FIRST PRESENTATION OF MULTIPLE DEP CLAIM

TOTAL ADDIT. Fee \$

or TOTAL

ADDIT. Fee \$1,224.00

- c. [] No additional fee for claims is required.
- d. [X] Total additional fee for claims required \$1,224.00

FEE DEFICIENCY

5. If any additional extension and/or fee is required, charge Account No. 23-2053. If any additional fee for claims is required, charge Account No. 23-2053.

Date: July 15, 2002_

Kristine M. Strodthoff
Reg. No. 34,259

Whyte Hirschboeck Dudek S.C. 111 East Wisconsin Avenue Suite 2100 Milwaukee, WI 53202 (414) 273-2100 Customer No. 31870

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